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In re Application of:

WOLFGANG ROESNER, ET AL.

RANDOMIZED SIMULATION MODEL INSTRUMENTATION

ENCLOSED HEREWITH:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copy of twenty-three (23) cited references marked AA-AW;
4. Certificate of Mailing; and
5. Our return postcard

Serial No.: 09/752,251; Filed: December 30, 2000

IBM CORPORATION

Our File No. 0116AD-AUS920000226US1

Mailed: September 28, 2001

MWB/dm

Received in the U.S.P.T.O.

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Xc IBM 9-28-01



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Attorney Docket No. AUS920000226US1

WOLFGANG ROESNER, ET AL.

§ Examiner: UNKNOWN

Serial No. 09/752,251

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Filed: December 30, 2000

§ Group No. 2123

SEP 24 2004

For: **RANDOMIZED SIMULATION
MODEL INSTRUMENTATION**

§ Technology Center 2100

§

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D. C. 20231

Sir:

Applicant requests that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

CERTIFICATE OF MAILING

37 CFR 1.8(A)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D. C. 20231.

9/28/01

Date

Shim R. Leon
R. Leon

Signature

No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to **IBM Corporation Deposit Account No. 09-0447**.

Respectfully submitted,



Matthew Baca
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BRACEWELL & PATTERSON, L.L.P.
Suite 350 Lakewood on the Park
7600B North Capital of Texas Highway
Austin, Texas 78731
(512) 542-2100

ATTORNEY FOR APPLICANTS



| | | | | | |
|--------------------------|---|----|---|------------------------|-----------------------------|
| Substitute form 1449/PTO | | | | Complete if Known | |
| | | | | Application Number | 09/752,251 |
| | | | | Filing Date | December 30, 2000 |
| | | | | First Named Inventor | WOLFGANG ROESNER, ET AL. |
| | | | | Group Art Unit | 2123 |
| | | | | Examiner Name | UNKNOWN |
| Sheet | 1 | of | 2 | Attorney Docket Number | AUS920000226US1 |

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U.S. PATENT DOCUMENTS

| Examiner Initials | IDS Doc # | U.S. Patent Document Number | Name of Patentee or Applicant | Publication Date MM-DD-YYYY |
|-------------------|-----------|---|---|--|
| | AA | Attorney Docket No. AT9-98-726; App. No. 09/345,163 | Related Co-Pending Application John F. Bargh, et al. | Application Filed on: June 29, 1999 |
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| | AS | Attorney Docket No. AUS920000222US1 App. No. 09/729,465 | Related Co-Pending Application Derek Edward Williams | | 12/05/2000 | |
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| | AU | Attorney Docket No. AUS920000228US1 App. No. 09/752,254 | Related Co-Pending Application Wolfgang Roesner, et al. | | 12/30/2000 | |
| | AV | Attorney Docket No. AUS920000227US1 App. No. 09/751,803 | Related Co-Pending Application Wolfgang Roesner, et al. | | 12/29/2000 | |
| | AW | Attorney Docket No. AUS920000225US1 App. No. 09/752,252 | Related Co-Pending Application Wolfgang Roesner, et al. | | 12/30/2000 | |
| FOREIGN PATENT DOCUMENTS | | | | | | |
| Examiner Initials | IDS Doc # | Office | Foreign Patent Document No. | Name of Patentee or Applicant | Date of Publication | English Translation |
| | | | | | | |
| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS | | | | | | |
| Examiner Initials | IDS Doc # | Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | | | | English Translation |
| | | | | | | |
| Examiner Signature | | | | | Date Considered | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/122 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application

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| | |
|------------------------|---|
| Application Number | 09/752,251 |
| Filing Date | 12/30/2000 |
| First Named Inventor | Wolfgang Roesner |
| Art Unit | 2121 |
| Examiner Name | Ronald D. Hartman |
| Attorney Docket Number | Technology Center 2100 AUS920000226US1 |

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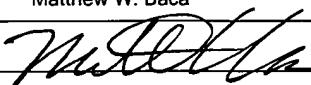
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I am the:

Applicant/Inventor
 Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
 Attorney or Agent of record. Registration Number 42,277
 Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Typed or Printed Name Matthew W. Baca

Signature 

Date September 17, 2001 Telephone (512) 343-6116

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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